

EXPRESS MAIL EV 967216856 US  
Serial No.10/552,037

1AP13 Rec'd PCT/PTO 27 OCT 2006

PATENT  
PD030036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Dirk Gandolph; Harald Schiller; Jobst Hörentrup;  
Ralf Ostermann; Hartmut Peters

Serial No. : 10/552,037

Int'l Appln. No. : PCT/EP04/02999

Int'l Filing Date : March 22, 2004

For : METHOD FOR CONTROLLING AN OPTICAL PICK-UP  
FOR READING STREAMS FOR SIMULTANEOUS  
REPRODUCTION

ATTENTION: PCT RECEIVING OFFICE

FILING OF MISSING REQUIREMENTS OF APPLICATION -  
RESPONSE TO NOTICE TO FILE MISSING PARTS

MAIL STOP PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

In response to the Notice to File Missing Requirements of Application Under 37  
CFR 371, mailed September 8, 2006, and relating to the above-identified application Serial  
No.10/552,037, Applicants hereby submit the following:

- 1) An executed Declaration and Powers of Attorney.
- 2) An executed Assignment with Recordal Sheet
- 3) Copy of Missing Parts Notice PCT/DO/EO/905.

Please charge the following fees to Deposit Account No. 07-0832:

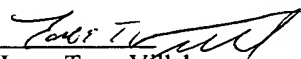
1) A surcharge of \$130.00 required under 37 CFR 1.497(a) and (b) for filing  
the Declaration on a date later than the appropriate 30 months from the priority date (37 CFR  
1.492(e)).

- 2) An assignment recordation fee of \$40.00.

Please charge any additional fees and credit any overpayments to Deposit Account  
No. 07-0832. A duplicate copy of this letter is enclosed for use in charging the deposit account.

11/01/2006 LLANDGRA 00000031 070832 10552037  
01 FC:1617 130.00 DA

Respectfully submitted,  
Dirk Gandolph  
Harald Schiller  
Jobst Hörentrup  
Ralf Ostermann  
Hartmut Peters

  
Jorge Tony Villabon  
Registration No. 52,322  
609/734-6445

THOMSON Licensing Inc.  
PO Box 5312  
Princeton, NJ 08543-5312  
DATE: 27 October 06  
(Letter In Duplicate)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.

10/552,037

FIRST NAMED APPLICANT

Dirk Andolph

ATTY. DOCKET NO.

PD033036

INTERNATIONAL APPLICATION NO.

PCT/EP04/02999

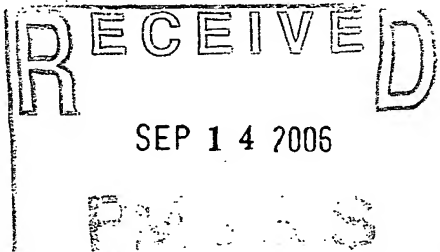
I.A. FILING DATE

03/22/2004

PRIORITY DATE

04/02/2003

24498  
THOMSON LICENSING INC.  
PATENT OPERATIONS  
PO BOX 5312  
PRINCETON, NJ 08543-5312



CONFIRMATION NO. 7003

371 FORMALITIES LETTER



\*OC000000020343095\*

Date Mailed: 09/08/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/03/2005
- Copy of the International Search Report filed on 10/03/2005
- Copy of IPE Report filed on 10/03/2005
- Preliminary Amendments filed on 10/03/2005
- Information Disclosure Statements filed on 10/03/2005
- Request for Immediate Examination filed on 10/03/2005
- U.S. Basic National Fees filed on 10/03/2005
- Priority Documents filed on 10/03/2005
- Power of Attorney filed on 10/03/2005
- Specification filed on 10/03/2005
- Claims filed on 10/03/2005
- Drawings filed on 10/03/2005

Event	NTFC 0005
Reading	08/11/06
Date	8/15/06

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY**

Total additional fee required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/552,037	PCT/EP04/02999	PD033036

FORM PCT/DO/EO/905 (371 Formalities Notice)

**BEST AVAILABLE COPY**